

6-9-99  
*This case was corrected  
on 3-8-99*



0230 RECEIPT

P/2054-95

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Katsuhiro Ochiai et al.

Date: February 22, 1999

Serial No.: 09/195,270

Group Art Unit: 2744

Filed: November 18, 1998

For: SYSTEM FOR CAPTURING RESOURCES IN BROADCAST  
AND DATA COMMUNICATION IN UNIFICATION MODE

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

RECEIVED

MAR 11 1999

REQUEST FOR A CORRECTED FILING RECEIPT Group 2700

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

- ☐ The inventorship is wrong. The correct inventorship is: \_\_\_\_\_. A copy of the original declaration showing the correct inventorship is enclosed.
- ☒ The priority data was omitted. The correct priority data is: Japan, No. 09-333768, filed November 19, 1997. A copy of the inventors designation sheet setting forth the correct priority data is enclosed.
- ☐ The filing date is incorrect. The correct filing date is: \_\_\_\_\_.  
A copy of the Express Mail receipt showing the correct filing date is enclosed herewith.
- ☐ The title of the invention is incorrect. The correct title is: \_\_\_\_\_

A copy of the original declaration setting forth the correct title is enclosed.

☐ The following data is incorrect: RECEIVED

MAR 11 1999  
The correct data is: \_\_\_\_\_

Group 2700

Enclosed is \_\_\_\_\_ evidencing the correct data.


It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

☒ A corrected filing receipt is required as a result of an error made by the PTO.

☐ A corrected filing receipt is required as a result of the applicant's error. A check in the amount of \$25.00 to cover the required fee is enclosed.

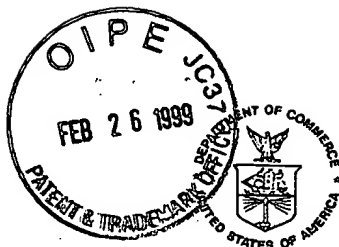
In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

Respectfully submitted,

  
\_\_\_\_\_  
Steven I. Weisburd  
Registration No.: 27,409  
OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403  
Telephone: (212) 382-0700

SIW:gl

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/195,270	11/18/98	2744	\$760.00 P/2054-95		3	14	1

OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK NY 10036-8403

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

KATSUHIRO OCHIAI, TOKYO, JAPAN; HIROSHI MATOBA, TOKYO, JAPAN.

TITLE

SYSTEM FOR CAPTURING RESOURCES IN BROADCAST AND DATA COMMUNICATION IN UNIFICATION MODE.

PRELIMINARY CLASS: 455

DATA ENTRY BY: RORIE, DEANNA

TEAM: 03 DATE: 12/09/98

(see reverse)



P/2054-95

INVENTORS DESIGNATION SHEET

TITLE: SYSTEM FOR CAPTURING RESOURCES IN BROADCAST AND DATA  
COMMUNICATION IN UNIFICATION MODE

PRIORITY CLAIMED UNDER 35 U.S.C. 119:

1. COUNTRY: Japan  
APPLICATION NO.: 9-333768  
DATE OF FILING: November 19, 1997

INVENTOR #1: Katsuhiro OCHIAI  
RESIDENCE: Tokyo, Japan  
P.O. ADDRESS: c/o NEC Corporation  
7-1, Shiba 5-chome, Minato-ku  
Tokyo, Japan

INVENTOR #2: Hiroshi MATOBA  
RESIDENCE: Tokyo, Japan  
P.O. ADDRESS: c/o NEC Corporation  
7-1, Shiba 5-chome, Minato-ku  
Tokyo, Japan

SEND CORRESPONDENCE TO:

OSTROLENK, FABER, GERB & SOFFEN  
1180 Avenue of the Americas  
New York, New York 10036-8403

Telephone No.: 212-382-0700

Attention: Steven I. Weisburd  
Registration No. 27,409



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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11/18/98 11/18/98 UCHIAI

2744 P/2054-05

0232/1222

NOT ASSIGNED

11/18/98 11/18/98 UCHIAI  
1110 AVENUE OF THE AMERICAS  
NEW YORK NY 10036-5403

DATE MAILED:

12/22/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:  
☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.101(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/03/99 HTEKLENI 00000130  
130.00  
01 FC:105

Sector #

US File No.: P/2054-95

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Katsuhiro Ochiai et al.

Date: February 22, 1999

Serial No.: 09/195,270

Group Art Unit: 2744

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Examiner:

For: SYSTEM FOR CAPTURING RESOURCES IN BROADCAST  
AND DATA COMMUNICATION IN UNIFICATION MODE

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Attention: Application Branch



RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of  
Application - Filing Date Granted, mailed December 12, 1998,  
submitted herewith is the Inventors Declaration and our Check No.  
082495 which includes the amount of \$130.00 in payment of  
the surcharge.


In the event the actual fee is greater than the payment  
submitted or is inadvertently not enclosed or if any additional  
fee during the prosecution of this application is not paid, the  
Patent Office is authorized to charge the underpayment to Deposit  
Account #15-0700.

If this communication is filed after the time period  
had elapsed and no separate Petition is enclosed, the  
Commissioner of Patents and Trademarks is petitioned, under 37  
C.F.R. §1.136(a), to extend the time for filing a response by the  
number of months which will avoid abandonment under 37 C.F.R.  
§1.135. The fee under 37 C.F.R. § 1.17 should be charged to our  
Deposit Account No. 15-0700.

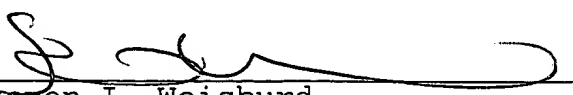
I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to: Com-  
missioner of Patents and Trademarks, Washington,  
D.C. 20231, on February 22, 1999

Steven I. Weisburd

Name of applicant, assignee or  
Registered Representative

  
Signature  
February 22, 1999  
Date of Signature

Respectfully submitted,

  
Steven I. Weisburd  
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